



*CMS Pilot Program for
Background Checks
Lessons Learned*

June 30, 2010
1:00-2:00 PM EDT



WEB CONFERENCE FACULTY

MODERATOR

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DISCUSSION KICKOFF

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QUESTIONS AND ANSWERS

Introduction

- ◆ Format is moderated questions and answers
- ◆ Thanks and disclaimer
- ◆ Scheduled for about half an hour of moderated discussion with additional time for questions and answers
- ◆ Subjects are grouped into four large areas with discussion starter questions. Each section will be time limited.
- ◆ Please send your questions to the moderator via the chat button.
- ◆ Questions before we start?

Using the Chat Panel for Questions or Comments



Chat Panel



Chat Panel

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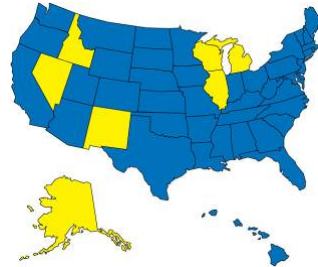
Disclaimer

- ◆ The views expressed in this presentation are the views of the speakers and do not necessarily reflect the views or policies of their states, CMS or the Yale New Haven Health System.
- ◆ The purpose of the call is to provide an opportunity to exchange ideas, build relationships between organizations working to develop their background check programs and further the mission of protecting vulnerable populations from harm. Participation is not to be construed as an endorsement of specific approaches or products.
- ◆ For definitive information about the CMS position on specific subjects, please refer to their [FAQs](#).

History

- ◆ Seven states participated in the pilot program:
Alaska, Idaho, Illinois, Michigan, Nevada, New Mexico and Wisconsin

- ◆ Purpose:
To protect vulnerable seniors by identifying efficient and effective ways to conduct fingerprint-based background checks for direct access workers



Collaboration

- ◆ How important were formal and informal collaborations?
 - Can one background check program be used across multiple facilities?
 - Across state agencies?
 - With NGOs and associations?
 - With other states?
- ◆ What mechanisms did you use?
 - MOAs?
 - Steering committees?



Rap Backs and Law Enforcement Systems

- ◆ Why not wait for the FBI national rap back to be introduced?
- ◆ What do I need to know about my state's current system(s) before submitting our proposal with a rap back component?
- ◆ Do many stakeholders see value in having the fitness decision made by a State agency?



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Legislative Authority

- ◆ If a state is missing some of the legislative authority that may be needed for the expansion, can the project count on obtaining this authority during the process?
- ◆ Can we use Federal laws to provide authorization for background checks in long-term care?



Digital Fingerprinting, Automation and Staffing

- ◆ The CMS national expansion requirement of phasing in digital scan technology suggests improved efficiencies
- ◆ Can the current digital systems in place be used?
- ◆ What are the unintended consequences for staffing and work flow in the short-term?
- ◆ What kinds of division of labor and (new) skills are required among program staff?



Training and Other Final Thoughts

- ◆ Allow enough time for training as part of program implementation
- ◆ Engage multiple resources for training – provider community
- ◆ Develop procedures for allowing staffing agencies to initiate background checks



Discussion and Questions



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